

## Procedure for Resolution of Disputes

<b>Objective</b>	This is to lay down process that will be followed by the Investment Manager for addressing any issues/complaints raised by the investors in the AIFs /schemes managed by Paragon Advisor Partners LLP ("Paragon" or the "Investment Manager"/"IM")
<b>Approved By</b>	The procedure is approved by the Management of Paragon.

THE PROCEDURE FOR HANDLING INVESTOR COMPLAINTS & GRIEVANCES	
INSTANCES OF CONFLICTS	
<b>Raising complaints/grievances</b>	<p>The Investors can raise the complaints/grievances by way of written emails to the following email id-  <b>Investorrelations@paragonpartners.in</b></p> <p>They can also send their complaints to SEBI on following address</p> <p>Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan. Plot No. C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051.</p> <p>The issues shall be clearly mentioned in the body of email.</p> <p>Investors can also approach SEBI for redressal of their complaints through SEBI SCORES platform. On receipt of complaints, SEBI takes up the matter with the concerned AIF.</p> <p>In addition to SCORES portal, as per SEBI circular dated July 31, 2023, the market intermediaries to be registered with Online Dispute Resolution Portal (ODR) which harnesses online conciliation and online arbitration for resolution of disputes arising in the Indian Securities Market. The disputes can be resolved through the ODR process as specified in the said circular.</p>
<b>Actions taken by the IM</b>	<p>Once the complaint is received in writing by the IM, the same will be addressed by the Investor relations team of the IM within 30 days from date of receipt of the complaint.</p> <p>Any complaints received by the IM through SEBI /SCORES will also be attended to and addressed by the IM within 30 days from date of receipt of the same.</p>
<b>Unresolved dispute- Arbitration</b>	<p>If the investor is not satisfied by the outcome of the resolution of the complaint, the concerned investor can within 45 (forty-five) Calendar Days from the receipt of the response, (unless the option to use ODR mechanism for online dispute resolution (ODR) is exercised in</p>

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	<p>accordance with the SEBI circular dated July 31, 2023), shall resort to arbitration.</p> <p>The Arbitration shall be held before one arbitrator of exemplary qualifications and stature, who shall be selected jointly by the Parties, or, if the Parties cannot agree on the selection of the arbitrator, shall be selected in accordance with the Arbitration and Conciliation Act, 1996. The arbitration shall in all be conducted in accordance with the provisions of the Arbitration and Conciliation Act, 1996.</p> <p>The arbitrator shall be a Person of professional repute who is not directly or indirectly connected with any of the Parties to this Agreement and have prior experience as arbitrator.</p> <p>The place of arbitration shall be New Delhi. The language to be used in the arbitration proceedings shall be English.</p>
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**Annexure 1**

<b>Version Number</b>	<b>Version Date</b>
1.0	May 2017
2.0	February 2018
3.0	November 2018
4.0	December 2019
5.0	December 2020
6.0	December 2021
7.0	December 2022
8.0	December 2023
9.0	December 2024
9.0	December 2025